



01/08/04

Express Mail No. EV 316174957-US

Attorney Docket No: NASH-001/03US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Jian Zhao *et al.*

Examiner: Not assigned

Serial No.: 10/601,314

Art Unit: 1614

Filed: June 20, 2003

For: **Methods of Making and Using Theaflavin, Theaflavin-3-gallate, Theaflavin-3'-gallate and Theaflavin-3,3'-digallate and Mixtures Thereof**

Office of Initial Patent Examination's Filing Receipt Corrections

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL

Enclosed are the following documents in connection with the above-identified application:

- ☒ Request for Corrected Filing Receipt
- ☒ Marked up copy of Filing Receipt
- ☒ Return receipt postcard

No fee is believed to be required.

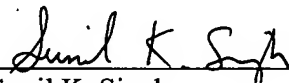
The Commissioner is hereby authorized to charge any appropriate fee, which may be required by this paper and to credit any overpayment to Deposit Account No. 03-3117.

Date: January 6, 2004

Cooley Godward LLP
Attn: Patent Group
Five Palo Alto Square
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Respectfully submitted,
COOLEY GODWARD LLP

By:


Sunil K. Singh
Reg. No. 45, 298



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REQUEST FOR CORRECTION OF FILING RECEIPT

Applicant respectfully requests issuance of a corrected Filing Receipt for the captioned utility application. The order of the Applicant(s) and the address of inventor Thomas M. Vaughn in the Filing Receipt mailed September 16, 2003 are incorrect. Accordingly, the "Applicant(s)" should be corrected to place "Thomas M. Vaughn, Franklin, TN" after "Hu Chen, Shanghai, China." Thus, as corrected, the "Applicant(s)" in the Filing Receipt should read as follows:

**Jian Zhao, Irvine, CA;
Rui Zhou, Shanghai, China;
Hu Chen, Shanghai, China;
Thomas M. Vaughn, Franklin, TN;**

Enclosed is a marked up copy of the Filing Receipt for the captioned utility application. The Commissioner is hereby authorized to charge any appropriate fee, which may be required by this paper and to credit any overpayment to Deposit Account No. 03-3117.

Date: January 6, 2004

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Attn: Patent Group

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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/601,314	06/20/2003	1614	0.00	NASH-001/03US	2	74	23

CONFIRMATION NO. 6959

23419

COOLEY GODWARD, LLP
3000 EL CAMINO REAL
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PALO ALTO, CA 94306

FILING RECEIPT



OC000000010883469

Date Mailed: 09/16/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

~~Thomas M. Vaughn, Brentwood, TN;~~
Jian Zhao, Irvine, CA;
Rui Zhou, Shanghai, CHINA;
Hu Chen, Shanghai, CHINA;
Thomas M. Vaughn, Franklin, TN

Domestic Priority data as claimed by applicant

This application is a CIP of 10/306,204 11/27/2002
which claims benefit of 60/333,515 11/28/2001
and claims benefit of 60/413,576 09/24/2002

Foreign Applications

CHINA 02111512.5 04/26/2002

If Required, Foreign Filing License Granted: 09/15/2003

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

RECEIVED

SEP 18 2003 RPS

COOLEY GODWARD LLP



Title

Methods of making and using theaflavin, theaflavin-3-gallate, theaflavin-3'-gallate and theaflavin 3,3'-digallate and mixtures thereof

Preliminary Class

514

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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